

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Christopher J. de Simone et al.  
Assignee: Amkor Technology, Inc.  
Title: "Nonexposed Heat Sink For Semiconductor Package"  
Serial No.: 09/513,067 File Date: 02/24/2000  
Examiner: Chuong A. Luu Art Unit: 2825  
Docket No.: AMK-AB0928

-----  
Date: August 3, 2004

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

REQUEST FOR RETURN OF INITIALED FORM 1449'S FOR PREVIOUSLY  
SUBMITTED INFORMATION DISCLOSURE STATEMENTS

Dear Sir:

Two Information Disclosure Statements were submitted early in the prosecution of this application, but copies of the PTO Form 1449's submitted with the two Information Disclosure Statements were not returned to applicants with the Examiner's initials thereon to indicate that the Examiner considered the references listed thereon. If the Examiner did, in fact, consider the cited references, it is requested that initialed copies of the Form 1449's be returned by mail. If, however, the Examiner did not consider the references cited in the two Information Disclosure statements, it is requested that the Examiner now consider the cited references before the application issues as a patent, and then mail an initialed copy of the Form 1449's to the undersigned.

Attached hereto as Exhibit A is the first of the Information Disclosure Statements, which was mailed on April 11, 2000, and was received at the Office on April 17, 2000, as indicated by the date-stamped Return Receipt Postcard.


Attached hereto as Exhibit B is the second of the Information Disclosure Statements, which was mailed on July 26,

2001, and was received at the Office on July 26, 2001, as indicated by the date-stamped Return Receipt Postcard.

It is submitted that no fee is required for this request. However, if it is considered that a fee is required, then any appropriate fees may be charged to Deposit Account No. 50-0574 (Docket No. AMK-AB0928).

Please direct any questions or comments to the undersigned at (408) 451-5906.

Respectfully submitted,



Customer No.: 022888

James E. Parsons  
Attorney for Applicant  
Reg. No. 34,691

I hereby certify that this correspondence is being deposited with the United States Postal Service as FIRST CLASS MAIL in an envelope addressed to: Mail Stop Issue Fee Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on August 3, 2004.

8/3/2004      Rebecca A. Baumann  
Date                      Signature: Rebecca A. Baumann

COPY

COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Applicants: Christopher J. deSimone; Lucian M. Hand; Gi Jeong Kim; Seung Mo Kim; Jin An Lee  
Assignee: Amkor and ANAM (Jointly)  
Title: Nonexposed Heat Sink For Semiconductor Package  
Serial No.: 09/513,067  
Filed: February 24, 2000  
Atty Docket No.: AB-928 US

ENCLOSED:

1. This Return Receipt Postcard;
2. This Transmittal Letter (in duplicate);
3. Response to Office Action (30 pp including Attachment A and B);
4. Request to Amend Drawings (2 pp.);
5. 3 sheets of drawings including Figures 1, 2, 6B, 8, 9A, and 9B;
6. Submission of Certified Copy of Priority Document (1 pp);
7. Priority Document (26 pages total with cover page);
8. Information Disclosure Statement Under CFR § 1.97 With Fee;
9. PTO Form 1449; and
10. 1 copy of cited reference.

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EXPRESS MAIL LABEL NO.: EL 701 023 687 US

July 26, 2001

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COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Applicants: Christopher J. deSimone; Lucian M. Hand; Gi Jeong Kim; Seung Mo Kim; Jin An Lee  
Assignee: Amkor and ANAM (Jointly)  
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5. 3 sheets of drawings including Figures 1, 2, 6B, 8, 9A, and 9B;
6. Submission of Certified Copy of Priority Document (1 pp);
7. Priority Document (26 pages total with cover page);
8. Information Disclosure Statement Under CFR § 1.97 With Fee;
9. PTO Form 1449; and
10. 1 copy of cited reference.

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July 26, 2001



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AUG 08 2001

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LLP.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Christopher J. de Simone, Lucian M. Hand, Gi Jeong Kim, Seung Mo Kim, Jin An Lee

Assignee: Amkor and ANAM (Jointly)

Title: Nonexposed Heat Sink For Semiconductor Package

Serial No.: 09/513,067 Filing Date: February 24, 2000

Examiner: Chuong A. Luu Group Art Unit: 2825

Docket No.: AB-928 US

San Jose, California  
July 26, 2001

COMMISSIONER FOR PATENTS  
Washington, D. C. 20231

**INFORMATION DISCLOSURE STATEMENT  
UNDER CFR § 1.97(c) WITH FEE**

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, Applicant(s) wish to call the following documents (copies enclosed) to the attention of the Examiner.

A PTO form 1449 listing these documents is enclosed.

Citation of the above documents shall not be construed as:

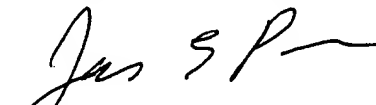
1. an admission that the documents are necessarily prior art with respect to the instant invention;
2. a representation that a search has been made, or
3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

Please charge Deposit Account No. 19-2386 \$180.00 for the Information Disclosure Statement fee under 37 C.F.R. § 1.17(p). The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account 19-2386. This paper is being submitted in duplicate.

EXPRESS MAIL LABEL NO:

EL 701 023 687 US

Respectfully submitted,

  
James E. Parsons  
Attorney for Applicant(s)  
Reg. No. 34,691

LAW OFFICES OF  
SKJERVEN MORRILL  
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25 METRO DRIVE  
SUITE 700  
SAN JOSE, CA 95110  
(408) 453-9200  
FAX (408) 453-7979

U.S. Department of Commerce, Patent and Trademark Office					Atty Docket No.		Serial No.	
					AB-928 US		09/513,067	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT					Applicant(s)			
(Use several sheets if necessary)					deSimone, Christopher J., et al.			
					Filing Date		Group	
					February 24, 2000		2825	
U.S. Patent Documents								
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
	AA	5,723,899	03/03/98	Shin	257	666		
	AB							
	AC							
	AD							
	AE							
	AF							
	AG							
	AH							
	AI							
	AJ							
	AK							
Foreign Patent Documents								
							Translation	
		Document	Date	Country	Class	Subclass	Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
	AQ							
	AR							
	AS							
Examiner			Date Considered					
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.								

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FILE COPY

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Applicants: Christopher J. deSimone, Lucian M. Hand, Kim Gi Jeong,  
Kim Seung Mo, Lee Jin An  
Assignee: Amkor and ANAM (Jointly)  
Title: Nonexposed Heat Sink For Semiconductor Package  
Serial No.: 09/513,067  
Filed: 02/24/00  
Atty Docket No.: AB-928 US

ENCLOSED:

1. This Return Receipt Postcard;
2. Information Disclosure Statement (1 page);
3. PTO Form 1449 citing 1 reference; and
4. Copy of 1 reference cited.

JEP:jcb  
April 11, 2000  
620167 v1

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ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Applicants: Christopher J. deSimone, Lucian M. Hand, Kim Gi Jeong,  
Kim Seung Mo, Lee Jin An  
Assignee: Amkor and ANAM (Jointly)  
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Serial No.: 09/513,067  
Filed: 02/24/00  
Atty Docket No.: AB-928 US

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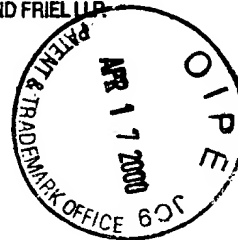
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4. Copy of 1 reference cited.

JEP:jcb  
April 11, 2000  
620167 v1

SKJERVEN, MORRILL, MACPHERSON,  
FRANKLIN AND FRIEL LLP



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Lee Jin An, Christopher J. deSimone, Lucian M. Hand, Kim Gi Jeong,  
Kim Seung Mo  
Assignee: Amkor and ANAM (Jointly)  
Title: Nonexposed Heat Sink For Semiconductor Package  
Serial No.: 09/513,067 **FILE COPY** Filing Date: February 24, 2000  
Examiner: Unknown Group Art Unit: Unknown  
Docket No.: AB-928 US

San Jose, California  
April 11, 2000

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D. C. 20231

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR § 1.97(b)

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the Applicants hereby bring U.S. Patent No. 5,780,772 to the attention of the Examiner for the above patent application. A Form 1449 listing this patent, as well as the patent itself, is enclosed herewith.

Citation of these documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;
2. a representation that a search has been made, other than as described above; or
3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on April 11, 2000.

James E. Parsons April 11, 00

Attorney for Applicant(s)

Date of Signature

Respectfully submitted,

James E. Parsons

James E. Parsons  
Attorney for Applicants  
Reg. No. 34,691

LAW OFFICES OF  
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U.S. Department of Commerce, Patent and Trademark Office					Atty Docket No.		Serial No.	
					AB-928 US		09/513,067	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT					Applicants:			
(Use several sheets if necessary)					An, Lee Jin; deSimone, Christopher J.; Hand, Lucian M.; Jeong, Kim Gi; Mo, Kim			
					Filing Date		Group	
					02/24/00			
U.S. Patent Documents								
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
	AA	5,780,772	07/14/98	Singh et al.	174	52.2		
	AB							
	AC							
	AD							
	AE							
	AF							
	AG							
	AH							
	AI							
	AJ							
	AK							
Foreign Patent Documents								
							Translation	
		Document	Date	Country	Class	Subclass	Yes	No
	AL							
	AM							
	AN							
	AO							
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
	AP							
	AQ							
	AR							
Examiner			Date Considered					
<b>*EXAMINER:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.								



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